

Docket No. 291500US2PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Stephane GETIN, et al.

SERIAL NO: 10/581,199

FILED: June 1, 2006

FOR: PARTICLE SORTING METHOD



GAU:

EXAMINER:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script, appearing to read "Marvin J. Spivak".

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 291500US2PCT		SERIAL NO. 10/581,199	
<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; margin: 10px;"> PIPE AUG 30 2006 PATENT & TRADEMARK OFFICE </div> <div style="text-align: left;"> LIST OF REFERENCES CITED BY APPLICANT </div> </div>				APPLICANT Stephane GETIN, et al.			
				FILING DATE June 1, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5 993 632	11-30-99	BECKER, Frederick F. et al.			
	AB	5 993 630	11-30-99	BECKER, Frederick F. et al.			
	AC	5 858 192	01-12-99	BECKER, Frederick F. et al.			
	AD	5 888 370	03-30-99	BECKER, Frederick F. et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AK	1 324 645	07-02-03	EP (equivalent of US 2003111594)			NO
	AL	00 69565	11-23-00	WO			NO
	AM	00 37920	06-29-00	WO (with English abstract)			NO
	AN	00 00292	01-06-00	WO (with English abstract)			NO
	AO	198 60 118	09-28-00	DE (with English abstract)			NO
	AP						
	AQ						
	AR						
	AS						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AT	KAWATA, S. et al., "Optically Driven Mie Particles in an Evanescent Field Along a Channeled Waveguide", Optics letters, Vol. 21, No. 21, pgs. 1768-1770, 1996.					
	AU	TANAKA, Takuo et al., "Optically Induced Meandering Mie Particles driven by the beat of Coupled Guided Modes Produced in a Multimode waveguide", Japanese Journal of Applied Physics, Vol. 41, No. 3A, pgs. 260-262, March 01, 2002.					
	AV	ASHKIN, A. et al., "Observation of Radiation-Pressure Trapping of Particles by Alternating Light Beams", The American Physical Society, Vol. 54, No. 12, pgs. 1245-1248, 1985.					
	AW	NG. et al., "Propulsion of Gold Nanoparticles on Optical Waveguides ", Optics communications, Vol. 208, pgs. 117-124, 2002.					
	AX	NG. et al., "Manipulation of Colloidal Gold Nanoparticles in the Evanescent Field of a Channel Waveguide", Applied Physics letters, Vol. 76, No.15, pgs. 1993-1995, 2000.					
	AY						
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



U.S. PCT Application Serial No: 10/581,199

Filed: June 1, 2006

Stephane GETIN, et al.

Docket No. 291500 US

STATEMENT OF RELEVANCY

- 1) References AK and AT-AU have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) Reference AV is discussed in the specification. A copy of this reference is being submitted herewith.
- 4) References AA-AD, AL-AO and AW-AX are additional prior art known to Applicant. A copy of these references is being submitted herewith.



Docket No.: 291500US2PCT

Serial No.: 10/581,199

Inventor: Stephane GETIN, et al.

LIST OF RELATED CASES CITED BY
APPLICANT UNDER 37 CFR 1.56

Filing Date: June 1, 2006

Group:

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	291500US2PCT*	10/581,199	06/01/06		GETIN, et al.
	291501US2PCT	10/581,483	06/02/06		GETIN, et al.
	291604US2PCT	10/581,484	06/02/06		GETIN, et al.

Examiner

Date Considered

*Present Application; listed for information
GJM/rmn/nle

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